



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,090	12/29/2000	John S. Maresca	YOR920000559US1/127-0004

23413  
CANTOR COLBURN, LLP  
55 GRIFFIN ROAD SOUTH  
BLOOMFIELD, CT 06002

CONFIRMATION NO. 4024

## FORMALITIES LETTER



\*OC00000005770114\*

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

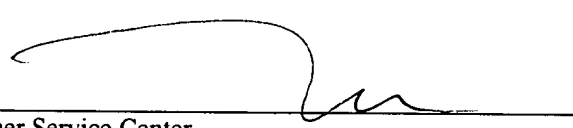
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2001 SLUANG1 00000001 500510 09752090

01 FC:105 130.00 CH

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**YOR920000559US1/127-0004**

In Re Application Of: **JOHN S. MARESCA ET AL**

Serial No.  
**09/752,090**

Filing Date  
**December 29, 2000**

Examiner  
**NOT ASSIGNED**

Group Art Unit

Invention: **METHOD AND SYSTEM FOR PROVIDING AN END-TO-END BUSINESS PROCESS FOR  
ELECTRONIC SUPPLIER QUALIFICATION AND QUALITY MANAGEMENT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**February 15, 2001**  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☒ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

06/05/2001 SLUANG1 00000001 500510 09752090  
02 FC:115 110.00 CH

Docket No.  
YOR920000559US1/I27-0004

Serial No.  
09/752,090

Filing Date  
December 29, 2000

Examiner  
**NOT ASSIGNED**

**Invention: METHOD AND SYSTEM FOR PROVIDING AN END-TO-END BUSINESS PROCESS FOR ELECTRONIC SUPPLIER QUALIFICATION AND QUALITY MANAGEMENT**

### Box Missing Parts

- ☒ Completion of application fees as calculated below:

- |   |                 |
|---|-----------------|
| <input type="checkbox"/> Utility application filing fee   | _____           |
| <input type="checkbox"/> Design application filing fee  | _____           |
| <input type="checkbox"/> Total number of independent claims = _____   | _____           |
| <input type="checkbox"/> Total number of claims = _____   | _____           |
| <input type="checkbox"/> Multiple dependent claims  | _____           |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <b>\$130.00</b> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____           |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____           |
| <input type="checkbox"/> Fee for processing and retention of application  | _____           |

**Total completion of application fees \$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

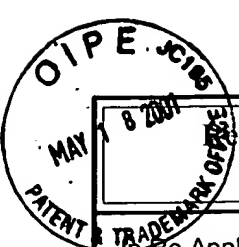
- ☒ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: April 15, 2001  
Date

until: May 15, 2001  
Date

**Total time extension fees \$110.00**

**Total fees due                      \$240.00**



Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
YOR920000559US1/I27-0004

In Re Application Of: JOHN S. MARESCA ET AL

Serial No.	Filing Date	Examiner	Group Art Unit
09/752,090	December 29, 2000	NOT ASSIGNED	

Invention: **METHOD AND SYSTEM FOR PROVIDING AN END-TO-END BUSINESS PROCESS FOR  
ELECTRONIC SUPPLIER QUALIFICATION AND QUALITY MANAGEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$240.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0510**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0510**  
A duplicate copy of this sheet is enclosed.

Signature

Dated: May 15, 2001

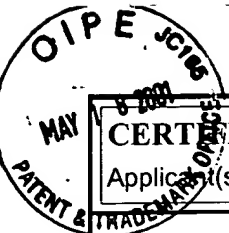
David A. Fox  
Registration No. 38,807  
Customer No. 23413  
Confirmation No. 4024

I certify that this document and fee is being deposited  
on **May 15, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence  
**Mary E. Arnold**

Typed or Printed Name of Person Mailing Correspondence

CC:



Sector \$

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): **JOHN S. MARESCA ET AL**

Docket No.

**YOR920000559US1/127-0004**

Serial No.

**09/752,090**

Filing Date

**December 29, 2000**

Examiner

**NOT ASSIGNED**

Group Art Unit

Invention: **METHOD AND SYSTEM FOR PROVIDING AN END-TO-END BUSINESS PROCESS FOR ELECTRONIC SUPPLIER QUALIFICATION AND QUALITY MANAGEMENT**

I hereby certify that this **Response To Notice To File Missing Parts Of Nonprovisional Application**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**May 15, 2001**

*(Date)*

**MARY E. ARNOLD**

*(Typed or Printed Name of Person Mailing Correspondence)*

*Mary E. Arnold*  
*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**